

MiFID II - RTS 28 REPORT 2023 - TOP 5 BROKERS - RETAIL CLIENTS

Class of Instrument	Equities — Shares & Depositary Receipts				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
EXT LTD LEI: 213800K13N6U5B2BU244	94.7%	94.4%	N/A	N/A	N/A
StoneX Financial Europe S.A. LEI: 5493009FOGEUTR4HFR55	5.3%	5.6%	N/A	N/A	N/A

Class of Instrument	Debt Instruments				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Roemer Capital (Europe) Limited LEI: 21380027LW8AF611WA03	100.0%	100.0%	N/A	N/A	N/A

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Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
EXT LTD LEI: 213800K13N6U5B2BU244	87.7%	80.0%	N/A	N/A	N/A
Roemer Capital (Europe) Ltd LEI: 21380027LW8AF611WA03	12.3%	20.0%	N/A	N/A	N/A

This disclosure is made in accordance with the Markets in Financial Instruments Directive (MiFID II) Article 27(6) and Commission Delegated Regulation 2017/576 ("RTS 28") whereby firms that execute client orders must make public on an annual basis, for each class of financial instruments, the top five execution venues / brokers in terms of trading volumes where they executed client orders in the preceding year and information on the quality of execution obtained.